

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Marco CATTARUZZA and
Markus HECKER

Serial No.: 10/527,785

Filed: March 11, 2005

For: FUNCTIONAL CORRECTION OF THE
786C/T-VARIANCE OF THE HUMAN
eNOS-GENE

Group Art Unit: 1635

Examiner: Louis Wollenberger

Atty. Dkt. No.: DEBE:053US

Confirmation No.: 1068

CERTIFICATE OF ELECTRONIC TRANSMISSION 37 C.F.R. § 1.8	
I hereby certify that this correspondence is being electronically filed with the United States Patent and Trademark Office via EFS-Web on the date below:	
April 13, 2007 Date	Steven L. Highlander

DECLARATION OF MARKUS HECKER UNDER 37 C.F.R. §1.132

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

I, Dr. Markus Hecker, do declare that:

1. I am a citizen of Germany residing at Heidelberg. I currently hold the position of Full Professor and Chairman at the Institute of Physiology and Pathophysiology of the University Hospital Heidelberg. My research experience includes well over 100 original articles in peer reviewed international scientific journals and close to 40 review articles in scientific journals, journal supplements, conference proceedings and books. I have

trained in Biology, Biochemistry, Pharmacology and Physiology and hold several university degrees including a doctorate in Biochemistry and a state doctorate in Physiology. I have worked in cardiovascular research for almost 20 years, mainly focusing on molecular and cell biology issues in vascular cells. I have a special expertise in the analysis and therapeutic manipulation of transcription factors and in this capacity have been the inventor of 69 patent applications of which 9 have been granted. A copy of my *curriculum vitae* is appended hereto.

2. Attached as Exhibit A are illustrations regarding the cellular uptake of decoy oligodeoxyribonucleotides (ODNs) according to the present invention. Pages 2-4 of Exhibit A show visualization of fluorescent-labeled decoy ODNs in the cytosol and nucleus of human vascular endothelial cells (HUVEC) by fluorescence microscopy and laser-scanning microscopy (LSM). Diffuse and particulate cytosolic fluorescence might indicate different uptake mechanisms. Counterstaining with an antibody against the surface receptor CD31 shows intracellular localization of decoy ODNs. Uptake of the decoy ODNs is concentration and time dependent, with a maximal uptake observed at 10 μ M decoy ODN after approximately 1 hr incubation.
3. Pages 6 and 7 of Exhibit A show the kinetics of cellular uptake using 35 S-labeled decoy ODNs at 10 μ M and increased incubation times revealed maximal cytosolic radioactivity, as determined by scintillation counting of cell lysate, after approximately 1 to 2 hrs incubation. A rough estimate of the intracellular concentration at this peak yields

approximately 30 μM decoy ODN. Thereafter, a steady decline of cytosolic radioactivity to equilibrium level of 10 μM decoy ODN was observed over 48 hrs.

4. Exhibit A, pages 9, 10, 12 and 13 show that the cellular uptake of short, double-stranded DNA decoy ODNs appears to be mediated by the folate transport mechanisms of the cell, *i.e.*, the reduced folate carrier (hRFC) and the folate receptor (FR). Decoy ODN uptake shows pharmacological characteristics regarding chloride concentration and pH of the medium that resemble the characteristics of the hRFC. Further, a more than 60% reduction in hRFC expression due to antisense inactivation resulted in a 40% reduced cellular uptake of a fluorescent labelled decoy ODN. In addition, competitive inhibition of the cellular folate transport mechanisms by folate or folate analog (methotrexate) yields a substantially reduced cellular uptake of short double-stranded decoy ODNs. In comparison, the hRFC appears to operate much less efficient for the uptake of single-stranded antisense DNA or double-stranded siRNA molecules.
5. Pages 15-17 of Exhibit A show that short, double-stranded decoy ODNs efficiently penetrate into psoriatic skin. *In vitro* application of a 2% ointment formulation of a fluorescent-labelled decoy ODN on psoriatic skin biopsies showed a deep penetration of the decoy ODN beyond the basal membrane into the dermal layer of the skin. Using direct fluorescence or anti-FITC immunohistochemistry of skin sections, nuclear staining of decoy ODN in keratinocytes within the epidermis and infiltrating inflammatory cell clusters in the dermis was observed.

6. Pages 19-22 of Exhibit A show that short, double-stranded decoy ODNs efficiently enter bronchial epithelium. Intranasal application of a fluorescent-labeled decoy ODN in mice led to a bright fluorescence of bronchial epithelium 10 min after application. Cellular uptake into bronchial epithelial cells is not different in normal, untreated mice as compared to mice with an airway inflammation induced by treatment with allergen.
7. I declare that all statements made herein of my own knowledge are true, and that all statements of my own belief are believed to be true, and further that these statements were made with the knowledge that willful false statements are punishable by fine or imprisonment, or both, under § 1001 of title 18 of the United States Code.

Heidelberg, 04-13-2007

Date



Dr. Markus Hecker

Markus Hecker

Address Institute of Physiology and Pathophysiology
 Division of Cardiovascular Physiology
 University of Heidelberg
 Im Neuenheimer Feld 326
 69120 Heidelberg, Germany
 phone +49 6221 544035, FAX +49 6221 544038
 e-mail hecker@physiologie.uni-hd.de

Born: 04 January 1960

Scientific curriculum

1980-1985 Study of Biology at the University of Konstanz, Germany
1985 Diploma (M. Sc. in Biology), University of Konstanz
1985-1987 Postgraduate studies at the University of Konstanz
1988 Dr. rer. nat. (Ph. D. in Biochemical Pharmacology), University of Konstanz
1988-1989 Visiting scientist, Department of Physiology and Biophysics, Georgetown University, Washington, D.C., U.S.A
1989-1990 Visiting scientist, William Harvey Research Institute, St. Bartholomew's Hospital Medical College, London, U.K.
1990-1991 Senior Scientist and Honorary Lecturer, William Harvey Research Institute, London
1991-1993 Lecturer, Department of Applied Physiology, University of Freiburg, Germany
1993 State doctorate (Dr. rer. nat., habil. in Physiology), University of Freiburg
1993-1996 Assistant Professor, Department of Cardiovascular Physiology, University of Frankfurt/M., Germany
1996-2004 Professor (C3) and Head, Department of Cardiovascular Physiology, University of Göttingen, Germany
2004 – Professor (C4) and Director, Institute of Physiology and Pathophysiology, University of Heidelberg, Germany
2006 – Head of the Division of Cardiovascular Physiology and Managing Director of the Institute of Physiology and Pathophysiology, University of Heidelberg

Honors

1987-1988 Post-graduate scholarship, Boehringer Ingelheim Fonds
1988-1990 Post-doctoral fellowship, German Research Foundation (DFG)
1991-1993 Lecturer fellowship, German Research Foundation (DFG)
1993 Sandoz Award for Therapy-Related Pharmacological Research, German Society of Experimental and Clinical Pharmacology and Toxicology
1994-1996 Heisenberg fellowship, German Research Foundation (DFG)
2000 Wulf Vater Dihydropyridine Research Award, Wulf Vater-Foundation

Original publications (2001-2006)

Lauth M, Cattaruzza M, Hecker M: ACE inhibitor and AT₁ antagonist blockade of deformation-induced gene expression in the rabbit jugular vein through B₂ receptor activation. *Arterioscl Thromb Vasc Biol* 21, 61-6 (2001)

Lienenlücke B, Stojanovic T, Fiebig T, Fayyazi A, Germann T, Hecker M: Thalidomide impairment of trinitrobenzene sulfonic acid-induced colitis in the rat - Role of endothelial cell-leukocyte interaction. *Br J Pharmacol* 133, 1414-23 (2001)

Wagner AH, Schroeter MR, Hecker M: 17 β -Estradiol inhibition of NADPH oxidase expression in human endothelial cells. *FASEB J* 15, 2121-30 (2001)

Cattaruzza M, Eberhardt I, Hecker M: Mechanosensitive transcription factors involved in endothelin B receptor expression. *J Biol Chem* 276, 36999-7003 (2001)

Cattaruzza M, Berger MM, Ochs M, Fayyazi A, Füzesi L, Richter J, Hecker M: Deformation-induced endothelin B receptor-mediated smooth muscle cell apoptosis is matrix-dependent. *Cell Death Diff* 9, 219-26 (2002)

Wagner AH, Gebauer M, Pollok-Kopp B, Hecker M: Cytokine-inducible CD40 expression in human endothelial cells is mediated by interferon regulatory factor-1. *Blood* 99, 520-5 (2002)

Stojanovic T, Bedke J, Gröne HJ, Proudfoot AEI, Becker H, Markus P, Hecker M: Met-RANTES inhibition of mucosal perfusion failure in acute intestinal transplant rejection - role of endothelial cell-leukocyte interaction. *J Vasc Res* 39, 51-8 (2002)

Cattaruzza M, Schäfer K, Hecker M: Cytokine-induced down-regulation of zfm1/splicing factor-1 promotes smooth muscle cell proliferation. *J Biol Chem* 277, 6582-6589 (2002)

Buchwald AB, Wagner AH, Webel C, Hecker M: Decoy oligodeoxynucleotide against activator protein-1 reduces neointimal proliferation after coronary angioplasty in hypercholesterolemic minipigs. *J Am Coll Cardiol* 39, 732-8 (2002)

Schramm L, La M, Heidbreder E, Hecker M, Beckmann J, Lopau K, Zimmermann J, Rendl J, Reiners C, Winderl S, Wanner C, Schmidt HHW: L-Arginine deficiency and supplementation in experimental acute renal failure and in human kidney transplantation. *Kidney Int* 61, 1423-32 (2002)

Wagner AH, Schwabe O, Hecker M: Atorvastatin inhibition of cytokine-inducible nitric oxide synthase expression in native endothelial cells in situ. *Br J Pharmacol* 136, 143-9 (2002)

Kelkenberg U, Wagner AH, Sarhaddar J, Hecker M, von der Leyen HE: C/EBP decoy oligodeoxynucleotide inhibition of macrophage-rich vascular lesion formation in hypercholesterolemic rabbits. *Arterioscler Thromb Vasc Biol* 22, 949-54 (2002)

Wagner AH, Gebauer M, Gülden-zoph B, Hecker M: 3-Hydroxy-3-methylglutaryl coenzyme A reductase-independent inhibition of CD40 expression by atorvastatin in human endothelial cells. *Arterioscler Thromb Vasc Biol* 22, 1784-9 (2002)

Schaeffer G, Levak-Frank S, Spitaler MM, Osibow K, Malli R, Fleischhacker E, Esenabhalu VE, Wagner AH, Frank S, Hecker M, Graier WF: Interacellular signalling within vascular cells under high D-glucose involves free radical-triggered tyrosine kinase activation. *Diabetologia* 46, 773-83 (2003)

Cattaruzza M, Slodowski W, Stojakovic M, Krzesz R, Hecker M: Interleukin-10 induction of nitric oxide synthase expression attenuates CD40-mediated Interleukin-12 synthesis in human endothelial cells. *J Biol Chem* 278, 37874-80 (2003)

Wagner AH, Gülden-zoph B, Lienenlücke B, Hecker M: CD154/CD40-mediated expression of CD154 in endothelial cells - consequences for endothelial cell-monocyte interaction. *Arterioscler Thromb Vasc Biol* 24, 715-20 (2004)

Cattaruzza M, Lattrich C, Hecker M: The focal adhesion protein zyxin is a mechanosensitive modulator of gene expression in vascular smooth muscle cells. *Hypertension* 43, 726-30 (2004)

Quarcoo D, Weixler S, Groneberg D, Joachim R, Ahrens B, Wagner AH, Hecker M, Hamelmann E: Inhibition of signal transducer and activator of transcription-1 attenuates allergen-induced airway inflammation and hyperreactivity. *J Allergy Clin Immunol* 114, 288-95 (2004)

Cattaruzza M, Guzik TJ, Słodowski W, Pelvan A, Becker J, Halle M, Buchwald AB, Channon KM, Hecker M: Shear stress insensitivity of endothelial nitric oxide synthase expression as a genetic risk factor for coronary heart disease. *Circ Res* 95, 841-7 (2004)

Gao D, Wagner AH, Frankhanel S, Stojanovic T, Schweyer S, Panzner S, Hecker M: CD40 antisense oligonucleotide inhibition of TNBS-induced rat colitis. *Gut* 54, 70-77 (2005)

Hükel M, Schurigt U, Wagner AH, Stöckigt R, Petrow PK, Thoss K, Gajda M, Henzgen S, Hecker M, Bräuer R: Attenuation of murine antigen-induced arthritis by treatment with a decoy oligodeoxynucleotide inhibiting signal transducer and activator of transcription-1 (STAT-1). *Arthritis Res Ther*. 8, R17 (2005) doi:10.1186/ar1869

Hölschermann H, Stadlbauer THW, Wagner AH, Fingerhuth H, Muth H, Rong S, Güler F, Tillmanns H, Hecker M: STAT-1 and AP-1 decoy oligonucleotide therapy delays acute rejection and prolongs cardiac allograft survival. *Cardiovasc Res* 71, 527-36 (2006)

Hasselblatt M, Krampe H, Jacobs S, Sindram H, Armstrong VW, Hecker M, Ehrenreich H: Arginine challenge unravels persistent disturbances of urea cycle and gluconeogenesis in abstinent alcoholics. *Alcohol Alcohol* 41, 372-8 (2006)

Melchers I, Blaschke S, Hecker M, Cattaruzza M: The ⁻⁷⁸⁶C/T single-nucleotide polymorphism in the promoter of the gene for endothelial nitric oxide synthase: insensitivity to physiological stimuli as a risk factor for rheumatoid arthritis. *Arthritis Rheum* 54, 3144-51 (2006)

Kusch B, Waldhans S, Sattler A, Wagner A, Hecker M, Moosdorf R, Vogt S: Inhibition of carotis venous bypass graft disease by intraoperative nucleic acid-based therapy in rabbits. *Thorac Cardiovasc Surg*. 54, 388-92 (2006)

Stojanovic T, Scheele L, Wagner AH, Middel P, Bedke J, Lautenschläger I, Leister I, Panzner S, Hecker M: STAT-1 decoy oligonucleotide improves microcirculation and reduces acute rejection in allogeneic rat small bowel transplants. *Gene Ther* (2007) in press